



CONSULTATION PAPER NO. 4

October 2018

**PROPOSED AIFC RULES
ON CREATION, MAINTENANCE AND USE OF
ASTANA INTERNATIONAL FINANCIAL CENTRE REGISTER OF
IDENTIFICATION NUMBERS AND ON FORMATION OF IDENTIFICATION
NUMBERS FOR ASTANA INTERNATIONAL FINANCIAL CENTRE BODIES, THEIR
ORGANISATIONS AND ASTANA INTERNATIONAL FINANCIAL CENTRE PARTICIPANTS**

**CONSULTATION PAPER NO. 4
PROPOSALS RELATING TO AIFC RULES
ON CREATION, MAINTENANCE AND USE OF
ASTANA INTERNATIONAL FINANCIAL CENTRE REGISTER OF
IDENTIFICATION NUMBERS AND ON FORMATION OF IDENTIFICATION
NUMBERS FOR ASTANA INTERNATIONAL FINANCIAL CENTRE BODIES, THEIR
ORGANISATIONS AND ASTANA INTERNATIONAL FINANCIAL CENTRE
PARTICIPANTS**

Why are we using this paper?

1. The draft of the *AIFC Rules on Creation, Maintenance and Use of Astana International Financial Centre Register of Identification Numbers and on Formation of Identification Numbers for Astana International Financial Centre Bodies, their Organisations and Astana International Financial Centre Participants 2018* (the “Proposed Rules”) was developed under paragraph 1-2 of article 3 of the Constitutional Statute of the Republic of Kazakhstan *On Astana International Financial Centre 2015* (the “Constitutional Statute”) and subparagraph 3) of paragraph 9 of *The Structure of the Bodies of the Astana International Financial Centre*, adopted by resolution of the Management Council on 26 May 2016, as amended by the resolution of the Management Council *The Amendments and supplementations to the Structure of the Bodies of the Astana International Financial Centre*, adopted on 9 October 2017.
2. The Consultation Paper presents the Proposed Rules to be adopted by the Governor of the AIFC for the establishment of a unified information system designed to record and store information about AIFC Bodies, their organisations and AIFC Participants and define the procedure for formation of Identification Numbers for them.
3. This Consultation Paper No. 4 (“Consultation Paper”) seeks public comments on the Proposed Rules.

Who should read this paper?

4. This Consultation Paper may be of interest to the current and prospective AIFC Participants, as well as all the AIFC Bodies and their organisations, and generally, to all interested in providing their feedback to the Proposed Rules.

How to provide comments

5. AIFC encourages interested parties to provide their views and comments on the issues outlined in the Consultation Paper, using the table of comments provided in Annex B.
6. All comments should be provided to the following person:

Mr Beibut Dosmurzinov
AIFC Authority
55/1 Mangilik El
Astana 010000, Republic of Kazakhstan
or emailed to b.dosmurzinov@aifc.kz

Ms Ainur Kadyrbayeva
Astana Financial Services Authority
BC “Emerald Towers”, Block B, 8 Kunayev street
Astana 010000, Republic of Kazakhstan

or emailed to a.kadyrbayeva@afsa.kz

7. You may as well identify your organisation in the provided comments.
8. By submitting your comments to the AIFCA you expressly consent to the processing by the AIFCA of the personal data pertaining to you, including, but not limited to the collection, recording, organisation, storage, adaptation or alteration, retrieval, use, disclosure by transmission, dissemination or otherwise making available, alignment or combination, blocking, erasure or destruction of thereof, as per the *AIFC Data Protection Regulations 2017*.

What happens next?

9. The deadline for providing comments on the proposals in this Consultation Paper is 30 November 2018.
10. Once we receive your comments, we will consider if any further refinements are required to the Proposed Rules annexed to this Consultation Paper at Annex A. Once the task is complete, the draft of the Proposed Rules will be further processed in accordance with the requirements, stipulated in the *AIFC Regulations on AIFC Acts 2017*.
11. The Proposed Rules are in draft form only and are, therefore, subject to change following consultation as mentioned above. Consequently, you should not act on it until the Proposed Rules are formally enacted.

Defined terms

12. Defined terms are identified throughout this paper by the capitalisation of the initial letter of a word or each word in a phrase and are defined in the Proposed Rules. Unless the context otherwise requires, where capitalisation of the initial letter is not used, the expression has its natural meaning.

Part 1 of the Proposed Rules: GENERAL

13. The Proposed Rules commence on 1 January 2019.
14. The Proposed Rules are to be adopted under paragraph 1-2 of article 3 of the Constitutional Statute *On Astana International Financial Centre 2015* and subparagraph 3) of paragraph 9 of The Structure of the Bodies of the Astana International Financial Centre, adopted by resolution of the Management Council on 26 May 2016, as amended by the resolution of the Management Council The Amendments and supplementations to the Structure of the Bodies of the Astana International Financial Centre, adopted on 9 October 2017.
15. The Proposed Rules are to apply within the jurisdiction of the AIFC.
16. The Proposed Rules are administered by the Registration Authorities.

Q1. Do you have any concerns about the commencement of the Proposed Rules? If so, what are they, and how should they be addressed?

Q2. Do you have any concerns about the Legislative Authority of the Proposed Rules? If so, what are they, and how should they be addressed?

- Q3. Do you have any concerns about the application of the Proposed Rules? If so, what are they, and how should they be addressed?**
- Q4. Do you have any concerns on administration of the Proposed Rules? If so, what are they, and how should they be addressed?**

Part 2 of the Proposed Rules: CREATION, MAINTENANCE AND USE OF REGISTER OF IDENTIFICATION NUMBERS

17. The Proposed Rules define the process of how the Register of Identification Numbers should be created, maintained and used. This information system should allow to record and keep information about the AIFC Bodies, their organisations and AIFC Participants and should be constantly updated by the Registration Authorities in the AIFC.
18. The registration information that should be entered in the Register of Identification Numbers to assign Identification Number to the AIFC Bodies, their organisations and AIFC Participants is described in Schedule 2 of the Proposed Rules.
19. In case of re-registration, changes in registered details and/or reorganisation of the AIFC Bodies, their organisations and AIFC Participants, the information in Schedule 3 of the Proposed Rules should be entered in the Register.
20. In case of liquidation or ceasing to conduct the activity in the AIFC, the exclusion from the Register of Identification Numbers is done by entering information in Schedule 4 of the Proposed Rules.
21. The Proposed Rules stipulate the provisions on the public access to the information in the Register of Identification Numbers and control over the use of it.

- Q5. Do you have any concerns about the process of creation, maintenance and use of the Register of Identification Numbers, prescribed by the Proposed Rules? If so, what are they, and how should they be addressed?**
- Q6. Do you have any concerns about the registration information, prescribed in the Schedule 2 of the Proposed Rules? If so, what are they, and how should they be addressed?**
- Q7. Do you have any concerns about the information required for re-registration, changes in registered details and/or reorganisation of the AIFC Bodies, their organisations and AIFC Participants stipulated in Schedule 3 of the Proposed Rules? If so, what are they, and how should they be addressed?**
- Q8. Do you have any concerns about the information for exclusion from the Register of Identification Numbers stipulated in Schedule 4 of the Proposed Rules? If so, what are they, and how should they be addressed?**
- Q9. Do you have any concerns about the disclosure of information in the Register of Identification Numbers prescribed in the provisions of the Proposed Rules?**

Part 3 of the Proposed Rules: FORMATION OF IDENTIFICATION NUMBERS

22. The Proposed Rules provide for the algorithm of formation of Identification Numbers for the AIFC Bodies, their organisations and AIFC Participants. It is carried out to preserve information related to the AIFC Body, organisation of AIFC Body or AIFC Participant in the Register of Identification Numbers, information system of state bodies and other state agencies. The integration to the information of state bodies allows the AIFC Bodies, their organisations and AIFC Participants to operate in the territory of Kazakhstan without any limitations (opening a bank account, registration as the taxpayer, etc).

Q10. Do you have any concerns about the formation of Identification Numbers for the AIFC Bodies, their organisations and AIFC Participants, prescribed in the Proposed Rules? If so, what are they, and how should they be addressed?

Legislative Proposal

23. This legislative proposal contains the following:
- (a) Proposed Rules (in Annex A);
 - (b) a table of comments to provide your views and comments on the Consultation Paper (at Annex B).



**AIFC RULES
ON CREATION, MAINTENANCE AND USE OF
ASTANA INTERNATIONAL FINANCIAL CENTRE REGISTER OF
IDENTIFICATION NUMBERS AND ON FORMATION OF IDENTIFICATION
NUMBERS FOR ASTANA INTERNATIONAL FINANCIAL CENTRE BODIES, THEIR
ORGANISATIONS AND ASTANA INTERNATIONAL FINANCIAL CENTRE PARTICIPANTS**

AIFC RULES NO. _ OF 2018

**XXX_, 2018
Astana, Kazakhstan**

CONTENTS

PART 1: GENERAL

- 1.1. **Name**
- 1.2. **Commencement**
- 1.3. **Legislative authority**
- 1.4. **Application of these Rules**
- 1.5. **Definitions etc.**
- 1.6. **Administration of these Rules**

PART 2: CREATION, MAINTENANCE AND USE OF THE REGISTER OF IDENTIFICATION NUMBERS

- 2.1. **Creation and Maintenance of the Register of Identification Numbers**
- 2.2. **Use of the Register of Identification Numbers**

PART 3: FORMATION OF IDENTIFICATION NUMBERS

- 3.1. **General Provisions about Formation of Identification Numbers**
- 3.2. **Formation of Identification Numbers**

SCHEDULE 1: INTERPRETATION

- 1.1 **Definitions for these Rules**

SCHEDULE 2: REGISTRATION

- 2.1. **Required information for registration**

SCHEDULE 3: RE-REGISTRATION, CHANGES IN REGISTERED DETAILS AND REORGANISATION

- 3.1. **Required information for re-registration, changes in registered details and reorganisation**

SCHEDULE 4: EXCLUSION

- 4.1. **Required information for exclusion**

PART 1: GENERAL

1.1. Name

These Rules are the *AIFC Rules on Creation, Maintenance and Use of Astana International Financial Centre Register of Identification Numbers and on Formation of Identification Numbers for Astana International Financial Centre Bodies, their organisations and Astana International Financial Centre Participants 2018*.

1.2. Commencement

These Rules commence on 1 January 2019.

1.3. Legislative authority

These Rules are adopted by the Governor of the AIFC under paragraph 1-2 of article 3 of the Constitutional Statute of the Republic of Kazakhstan *On Astana International Financial Centre 2015* and subparagraph 3) of paragraph 9 of *The Structure of the Bodies of the Astana International Financial Centre*, adopted by resolution of the Management Council on 26 May 2016, as amended by the resolution of the Management Council *The Amendments and supplementations to the Structure of the Bodies of the Astana International Financial Centre*, adopted on 9 October 2017.

1.4. Application of these Rules

1.4.1 These Rules apply within the jurisdiction of the AIFC.

1.4.2 These Rules provide a unified system of registration of information relating to AIFC Bodies, their organisations and AIFC Participants and define the procedure for formation of Identification Numbers for them.

1.5. Definitions etc.

1.5.1 Schedule 1 (Interpretation) contains definitions used in these Rules.

1.5.2 Terms used in these Rules (other than terms defined in Schedule 1) have the same meanings as they have, from time to time, in the AIFC Regulations and AIFC Rules, unless the contrary intention appears.

1.6. Administration of these Rules

These Rules are administered by the Registration Authorities.

PART 2: CREATION, MAINTENANCE AND USE OF REGISTER OF IDENTIFICATION NUMBERS

2.1. Creation and maintenance Register of Identification Numbers

- 2.1.1 Register of Identification Numbers includes Register of the AIFC Bodies and is created on the basis of information system as a unified system of records of registration or re-registration of AIFC Bodies, their organisations and AIFC Participants.
- 2.1.2 Register of Identification Numbers is maintained by registering and updating the data on AIFC Bodies, their organisations and AIFC Participants provided by the Registration Authorities.

2.2. Use of the Register of Identification Numbers

- 2.2.1 Schedule 2 (Registration) contains required information on AIFC Bodies, their organisations and AIFC Participants to assign Identification Numbers in the Register of Identification Numbers.
- 2.2.2 Schedule 3 (Re-registration, changes in registered details and reorganisation) contains required information on AIFC Bodies, their organisations and AIFC Participants for re-registration, changes in registered details including but not limited to changes to required information under subrule 2.2.1 and reorganisation in the Register of Identification Numbers, including but not limited to changes to required information under subrule 2.2.1.

Note: Reorganisation of AIFC Bodies, their organisations and AIFC Participants is carried out as determined by the AIFC acts.

- 2.2.3 Identification Number of AIFC Body, organisation of an AIFC Body or AIFC Participant is excluded from Register of Identification Numbers:
 - (a) upon liquidation of AIFC Body, their organisation or AIFC Participant; or
 - (b) in the event of AIFC Body, their organisation or AIFC Participant is ceased to carry on business in the AIFC

by entering required information to Register of Identification Numbers in accordance with Schedule 4 (Exclusion).

Note: Conditional exclusion of Identification Number from the Register of Identification Numbers is not granted.

- 2.2.4 Information in the Register of Identification Numbers, except for information that is publicly available, must not be disclosed, except for the cases of submission of information:
 - (a) to bodies carrying out criminal offence prosecution in accordance with the Acting Law of the Republic of Kazakhstan; or
 - (b) to courts during the consideration of cases on criminal and other offence; or
 - (c) in other cases, stipulated by the Acting Law of the AIFC.
- 2.2.5 Information relating to AIFC Bodies, their organisations and AIFC Participants, unless it is publicly available, must not be provided to third party unless the written consent is given by the AIFC Body, organisation of an AIFC Body or AIFC Participant.

- 2.2.6 Erasure of documents of databases of information systems or other information carriers containing information about AIFC Bodies, their organisations and AIFC Participants, as well as their undue modification by persons who have access to this information in connection with their professional activities, are not allowed.

PART 3: FORMATION OF IDENTIFICATION NUMBERS

3.1. General Provisions about Formation of Identification Numbers

Formation of Identification Numbers for the AIFC Bodies, their organisations and AIFC Participants is carried out to preserve information related to the AIFC Body, organisation of AIFC Body or AIFC Participant in the Register of Identification Numbers, information system of state bodies and other state agencies.

3.2. Formation of Identification Numbers

Identification Number contains 12 digits and consists of five parts:

- (a) the first part consists of 4 digits and includes the year (last two digits) and the month of registration or re-registration of the AIFC Bodies, their organisations and AIFC Participants;
- (b) the second part consists of 1 digit and outlines the type of AIFC Bodies, their organisations and AIFC Participants. Specific values are:
 - i. 4 – for residents;
 - ii. 5 – for non-residents;
- (c) the third part consists of 1 digit and outlines as follows:
 - i. 0 – new legal entity;
 - ii. 1 – branch of a legal entity;
- (d) the fourth part consists of 5 digits starting from 90001 to 99999 inclusively;
- (e) the fifth part consists of 1 random digit determined automatically.

SCHEDULE 1: INTERPRETATION

Note: See rule 1.5.

1.1 Definitions for these Rules

In these Rules:

AIFC Bodies has the meaning given by article 9 of the Constitutional Statute and the document entitled The Structure of the Bodies of the Astana International Financial Centre adopted by the Management Council on 26 May 2016.

AIFC Participant has the meaning given by paragraph 5) of article 1 of the Constitutional Statute.

Register of Identification Numbers has the meaning given by paragraph 8) of article 1 of the Constitutional Statute.

Identification Number has the meaning given by paragraph 9) of article 1 of the Constitutional Statute.

Formation of Identification Number means the process as a result of which the identification number is formed in the Register on the basis of information on AIFC Bodies, their organisations and AIFC Participants.

Registration Authorities means the Governor of the AIFC and/or the AIFC Authority, carrying out the creation and maintenance of the Register of the AIFC Bodies and the issuance of documents with an Identification Number, and the Astana Financial Services Authority (the “AFSA”), carrying out the registration of data related to the organisations of the AIFC Bodies and AIFC Participants and the issuance of documents with an Identification Number.

Register of AIFC Bodies has the meaning given by section 5 of Rules on Registration of the AIFC Bodies approved by the Order of the Governor of the AIFC No. 2 dated 27 January 2017.

SCHEDULE 2: REGISTRATION

Note: See subrule 2.2.1

No	Section Name
	Information about the registration authority
1.	Name of the registration authority
	Registration information
2.	First name, middle name and surname of the Authorised Signatory
3.	Date of submitting the application package
4.	Type of incorporation (primary, re-registration)
5.	Method of formation (by establishing a new legal entity or reorganisation of an existing legal entity). In case of reorganisation, specify the type of reorganisation (merge, transformation, split, or separation)
6.	Form of organisation (new legal entity, branch)
7.	Full name in Kazakh, Russian, English language (organisational-legal form and name of the applicant)
8.	Abbreviated name of the applicant in Kazakh, Russian, English language (<i>if applicable</i>)
9.	Date of the Order of the Governor, AFSA
10.	Number of the Order of the Governor, AFSA
11.	Organisational-legal form
12.	Form of ownership (state or private)
13.	Is the applicant using standard articles of association?
14.	Type of legal entity/branch (commercial or non-commercial)
15.	Type of private enterprise (small, medium or large)
16.	Subsidiary (yes/no)
17.	International organisation (yes/no)
18.	Are foreign investors participating in the entity?
19.	Is a legal entity a Value Added Tax (VAT) payer?
	Information about the head organisation (in case of registration of a branch)
20.	Resident / non-resident
21.	Business Identification Number of the head organisation (if applicable)
22.	Full name of the head organisation
	<i>Information on the head organisation - Non-resident in the Republic of Kazakhstan</i>
23.	Date of starting the activities in the Republic of Kazakhstan (or the date of submission of the application for registration) (if applicable)
24.	Country of incorporation
25.	Registration number in the country of incorporation
26.	Date of incorporation in the country of incorporation
27.	Tax registration number in the country of incorporation
28.	Type of organisation
29.	Type of business activity
30.	Country of residence
31.	Name of registration authority
32.	Address of registration authority
33.	Surname, name and middle name of the CEO of the head organisation
34.	The legal address of the head organisation in the country of registration
35.	Phone number (if available)
36.	Email (if available)
37.	International organisation (yes/no)
38.	Regime of registration (Special Economic Zone, Offshore or another regime)
39.	Type of share capital
40.	Size of share capital
	The legal address
41.	Registration Code of the Address (in the case of the availability of a RCA, columns 43-49 need not to be filled in) (if available)
42.	Postal address
43.	Name of the district in Astana
44.	Type of construction (if available)

45.	Building number
46.	Block (if available)
47.	Housing (if available)
48.	Type of accommodation (if available)
49.	Number of apartment, non-residential premises (if available)
50.	Office number (if available)
51.	Telephone (if available)
	Information about the CEO
52.	Citizenship (citizen of the Republic of Kazakhstan / foreign / statelessness)
53.	Individual Identification Number of the CEO
54.	Country of citizenship (not required for stateless persons)
55.	Country of main residence
	Type of economic activity
56.	The name of the activity (as indicated by the Authorised Signatory)
57.	The code according to the Unified Classification of Economic Activities of the Republic of Kazakhstan
58.	Belonging to the main economic activity
59.	Expected number of employed people
	Information about the share capital of a legal entity
60.	The amount of the share capital, in KZT or USD
	Share of state capital:
61.	amount in KZT or USD
62.	share in %
	Share of private capital:
63.	amount in KZT or USD
64.	share in %
65.	Number of shareholders
	Incorporators – Natural persons (if applicable)
66.	Citizenship (citizen of the Republic of Kazakhstan / foreign / statelessness)
67.	Individual Identification Number (IIN) (in case of availability of IIN, items 71-74 are not filled)
68.	Surname, first name and middle name
69.	Country of citizenship
70.	Country of main residence
	<i>Information about a foreign person without Individual Identification Number of the Republic of Kazakhstan</i>
71.	Tax registration number in the country of incorporation
72.	ID document
73.	Document Number
74.	Date of issue of the document
75.	Share in the share capital, %
76.	Amount in KZT or USD
	Incorporators – Legal entities (if applicable)
77.	Resident / non-resident
78.	Business Identification Number (BIN) (in case of BIN availability items 80-83 are not filled)
79.	Full name
	<i>Information of the non-resident incorporator</i>
80.	Country of registration
81.	Registration number in the country of registration
82.	Tax registration number in the country of incorporation
83.	Date of registration
84.	Type of activity (for the non-resident of Kazakhstan, fill in regardless of the presence / absence of the BIN)
85.	Share in the share capital, %
86.	Amount in KZT or USD
	Details of Reorganisation (if the method of formation is reorganisation)
87.	Type of reorganisation
88.	Number of legal entities participating in the reorganisation
89.	Participants in the reorganisation

90.	BIN
91.	Full name
	Registration of tax payers
92.	Is it required to register as a Value Added Tax (VAT) payer? (yes/no)

**SCHEDULE 3: RE-REGISTRATION,
CHANGES IN REGISTERED DETAILS
AND REORGANISATION**

Note: See subrule 2.2.2

No.	Section Name
	Information about the registration authority
1.	Name of the registration authority
	Registration information
2.	Action (re-registration, change in registered details)
3.	First name, middle name and surname of the applicant
4.	Date of submission of an application form
5.	BIN
6.	Type of reorganisation (merger, separation)
7.	The basis for re-registration, change in registered details
8.	Full name in Russian language (organisational-legal form and name of the applicant)
9.	Full name in Kazakh and English languages (organisational-legal form and name of the applicant)
10.	Abbreviated name in Kazakh, Russian and English languages (if the name has been changed)
11.	Date of the Order of the Governor, AFSA and the date of re-registration, change in registered details
12.	Number of the Order of the Governor, AFSA on re-registration, changes in registered details
13.	Form of ownership (state or private)
14.	Is the applicant using standard articles of association?
15.	Type of legal entity/branch (commercial or non-commercial)
16.	Type of private enterprise (small, medium or large)
17.	Is it a branch?
18.	Is it an international organisation?
19.	Are foreign investors participating in the entity?
	The following information is to be filled in if there are changes according to the Schedule 1
	The legal address
	Information about the CEO
	Type of economic activity
	Information about the share capital of a legal entity
	Information about the incorporators
	Participants of the reorganisation (merger, separation)

SCHEDULE 4: EXCLUSION

Note: See subrule 2.2.3

No.	Section name
	Information about the registration authority
1.	Name of the registration authority
	Registration information
2.	First name, middle name and surname of the applicant
3.	Date of submission of an application form
4.	BIN
5.	Full name in Russian language (organisational-legal form and name of the applicant)
6.	Date of the Order of the Governor, AFSA on termination of the activity
7.	Number of the Order of the Governor, AFSA on termination of the activity
	Information about termination of the activity
8.	Type of termination of the activity (voluntary and involuntary)
9.	Type of reorganisation (merger, separation)
10.	The basis for activity termination (application of the entity, revocation of the licence, bankruptcy, cancellation of the order on registration, extrajudicial liquidation procedure, compulsory liquidation, court decision on recognition of the legal entity as invalid)
11.	Number of the court decision on activity termination
12.	Date of the court decision
13.	The name of the body that took decision to terminate the activity
14.	BIN of the legal entity - successor (BIN is filled in case of merger to another legal entity)
15.	Name of the legal entity - successor

Annex B



Format for providing public comments to AIFC Authority on Consultation Paper No. 4 of 2018

PROPOSED AIFC RULES ON CREATION, MAINTENANCE AND USE OF ASTANA INTERNATIONAL FINANCIAL CENTRE REGISTER OF IDENTIFICATION NUMBERS AND ON FORMATION OF IDENTIFICATION NUMBERS FOR ASTANA INTERNATIONAL FINANCIAL CENTRE BODIES, THEIR ORGANISATIONS AND ASTANA INTERNATIONAL FINANCIAL CENTRE PARTICIPANTS

Note 1: AIFC Authority reserves the right to publish, including on its website, any comments you provide. However, if you wish to remain anonymous, you must expressly request at the time of making comments that this should be the case.

Note 2: The column ‘comments on proposed policy’ relates to the policy explained in the Consultation Paper (“CP”). The column, ‘comments on proposed legislation’ refers to the draft legislation reflecting the proposed policy.

Note 3: It is possible that you may not have any comments, either on the proposed policy or legislation or may have comments on one and not the other. You may leave a blank column if you either agree to the proposed position, or you have no concerns relating it.

Note 4: If there are any ambiguities relating to the proposed policy or legislation, you may raise those in your comments.

Name of individual/entity providing comments	
---	--

No.	Comments on proposed policy	Comments on proposed legislation
Q1. Do you have any concerns about the commencement of the Proposed Rules? If so, what are they, and how should they be addressed?		
Q2. Do you have any concerns about the Legislative Authority of the Proposed Rules? If so, what are they, and how should they be addressed?		
Q3. Do you have any concerns about the application of the Proposed Rules? If so, what are they, and how should they be addressed?		
Q4. Do you have any concerns on administration of the Proposed Rules? If so, what are they, and how should they be addressed?		

No.	Comments on proposed policy	Comments on proposed legislation
Q5. Do you have any concerns about the process of creation, maintenance and use of the Register of Identification Numbers, prescribed by the Proposed Rules? If so, what are they, and how should they be addressed?		
Q6. Do you have any concerns about the registration information, prescribed in the Schedule 2 of the Proposed Rules? If so, what are they, and how should they be addressed?		
Q7. Do you have any concerns about the information required for re-registration, changes in registered details and/or reorganisation of the AIFC Bodies, their organisations and AIFC Participants stipulated in Schedule 3 of the Proposed Rules? If so, what are they, and how should they be addressed?		
Q8. Do you have any concerns about the information for exclusion from the Register of Identification Numbers stipulated in Schedule 4 of the Proposed Rules? If so, what are they, and how should they be addressed?		
Q9. Do you have any concerns about the disclosure of information in the Register of Identification Numbers prescribed in the provisions of the Proposed Rules?		
Q10. Do you have any concerns about the formation of Identification Numbers for the AIFC Bodies, their organisations and AIFC Participants, prescribed in the Proposed Rules? If so, what are they, and how should they be addressed?		